

10/565996

IAP9 Rec'd PCT/PTO 11 JAN 2006

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kazuaki Matsumoto et al.

International

Serial No. PCT/JP2004/010088

U.S. Serial No.: Applied for

Intl. Filing Date: July 8, 2004

U.S. Filing Date: Herewith

Title: RESIN COMPOSITION  
CONTAINING ULTRAFINE  
PARTICLES

Attorney Docket No: 5404/135

Examiner: Not Assigned

Art Unit: Not Assigned

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

## U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
4,846,893 A1	07/11/1989	Akasaki et al.
5,055,497 A1	10/08/1991	Okada et al.

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
JP 62-265301 A	11/18/1987	Nippon Soda Co., Ltd.
JP 2002-265508 A	09/18/2002	Kaneka Corp.

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

International Search Report from Corresponding International Application  
No. PCT/JP2004/010088, dated November 9, 2004, 2 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

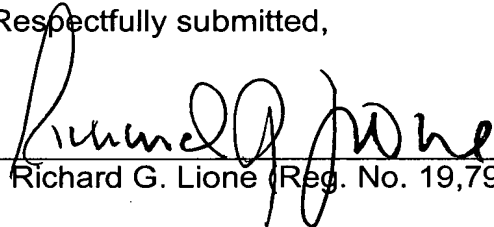
Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Jan. 11, 2006  
Date

  
Richard G. Lione (Reg. No. 19,795)

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FORM PTO-1449	INTERNATIONAL APPLICATION NO. PCT/JP2004/010088	CASE NO. 5404/135
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	INTERNATIONAL FILING DATE July 8, 2004	GROUP ART UNIT Not Assigned
(use several sheets if necessary)	FIRST NAMED INVENTOR: Kazuaki Matsumoto et al.	
	EXAMINER NAME: Not Assigned	

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
	A1	4,846,893 A1	07/11/1989	Akasaki et al.		
	A2	5,055,497 A1	10/08/1991	Okada et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	T
	A3	JP 62-265301 A	11/18/1987	Nippon Soda Co., Ltd.		Abstract
	A4	JP 2002-265508 A	09/18/2002	Kaneka Corp.		Abstract

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					T
	A5	International Search Report from Corresponding International Application No. PCT/JP2004/010088, dated November 9, 2004, 2 pages.				

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.